

FIDUCIARY SERVICES



Our experts partner with you to mitigate your Operational, Compliance, Strategic, and Reputational Risks.

AUDIT SERVICES

- Review of Existing Policies and Procedures
- Administrative Account Review
- Asset Review
 - Trust
 - IRA
 - QRP
 - HSA
 - 401(k)
- Perform Internal Audit
- Simulated Examination

COMPLIANCE SERVICES

- Regulations Response and Remediation
- Regulatory Mapping, Control, Testing, and Certification
- Anti-Money Laundering Risk Assessment and Advisory
- Fiduciary Accounting
- Operating Model Assessment
- Supervisory Policies (Development, Documentation, Remediation)
- Compliance Program Design and Implementation
- New Account Opening/Transfer/Closing Procedures

RISK MANAGEMENT SERVICES

- Operational Risk Management Framework Definition
- Risk Monitoring Approaches and Techniques
- Quantitative Risk Measurement
- Risk and Control Governance
- Product Risk Review
- Process and Controls Review
- Board and Trust Committee Education
- Risk Assessments





- THE CANNON EXPERIENCE -

Protecting Your Reputation & Business

Engage with us to **Audit** activities from your recent past, ensure **Compliance** procedures are current to keep your business operating efficiently today, and enhance your firm's **Risk Management** strategy to appropriately address the future changes.

Cannon's Fiduciary Services experts blend our knowledge of complex regulatory requirements with industry best practices for running a compliant and profitable fiduciary business. Whether the need is helping to support internal auditors prepare for a Regulatory Examination, comply with a Regulatory Report of Examination and criticism, offer guidance for future risk management strategies, or provide education to your Board of Directors, Cannon is your partner to improve performance within your organization, no matter the level of complexity.

A Powerful Partner

We were born of this industry. We know the challenges. We also know the potential. Cannon provides clients partnership based on experience, insight, and leadership.

Cannon Financial Institute is here to protect your business and your reputation so that you can continue to protect your clients' business.

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