

FIDUCIARY & INVESTMENT RISK MANAGEMENT GRADUATE



Curriculum

Risk Management

Credit
Market
Operational

Alternative Risk Transfer Markets

Class Project: Importance of Risk Management, Audit & Compliance

Audit Skills & Techniques

Auditing I.L.I.T.'s

Regulatory Update

Compliance Requirements
Directed Trustees

Class Exercise: Regulatory Issues

Transition Management

Case Study: Transition Management

USA Patriot Act

Gramm Leach Bliley Act

Sarbanes-Oxley Act

Personal Trust Update

Tax Law
P&I Law
Trends
Discretionary Distributions

Investment Update

Investment Policy Statements
Low-Cost Basis Stock

Retirement Plans Update

Regulatory Charges
Benefit & Contribution Limits Update
Participant Loans

Cannon recognizes the contributions that well-trained audit and compliance/risk management professionals make in ensuring the safety, quality and profitability of an institution's fiduciary and investment services. Therefore, we have added FIRM Graduate as an adjunct to our three-year FIRM Program.

Whereas FIRM School focuses primarily on fiduciary and investment products, services and risks, FIRM Graduate

School deals with the "how-to" of fiduciary/investment services auditing, including interface with compliance monitoring. The Graduate course may be taken prior to, during or after the FIRM program, but for maximum benefit we recommend a candidate first complete the FIRM program. The audit training is more applicable and beneficial if the candidate is well versed in products, services and risks. Completing the Graduate course also qualifies for 36 hours of Continuing Education credits.

Who Should Attend

- Staff Auditors, Internal Auditors, External Auditors, Auditors-in-Charge, Audit Managers, Senior Audit Managers, Regulatory Examiners, and others engaged in audit and risk management functions for fiduciary and investment services
- Audit Committee Members, Members of the Board of Directors, Risk Managers, Trust and Investment Services Managers, Regulatory Supervisory Personnel, and other service providers desiring an overview of programs, skills and techniques applicable to the audit of fiduciary and investment services

Key Benefits

- Understand and apply audit theory
- Understand and apply risk management theory
- Understand and apply strategic auditing
- Learn to assess corporate governance practices
- Obtain the latest updates on industry changes

Class Schedule

Registration and orientation take place on Sunday afternoon and class begins on Monday at 8:00 AM. This one-week session closes on Friday at 3:00 PM. Attendance is mandatory at all sessions.

COURSES



LOCATIONS



Myrtle Beach, SC

REGISTER

COURSES

Faculty

Matthew C. Eby

Cannon Financial Institute
Athens, GA

Joanne J. Eby

President
Nth Degree Financial Solutions
Chicago, IL

Fran M. DeMaris

Executive Vice President
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Athens, GA

Duane E. Lee, II

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