



Duane E. Lee, II
CWS, CTFA, CRSP, CSOP, AFIM, AIF, CCTS, CISP
Executive Vice President



About Duane

Throughout his 30+ year in the Financial Services Industry Duane has amassed an astonishing body of knowledge. Duane consistently earns the highest marks from his students for his ability to clearly communicate complicated and complex subject matter. His deep experience in the industry enables him to connect with students so they are able to immediately convert the classroom experience to their daily responsibilities. As one of Cannon's most senior instructors Duane frequently finds himself instructing both the Regulatory Agencies and the Financial Services Firms under their regulation.

Prior to joining Cannon, Duane was employed by M&T Bank in Buffalo, New York as Administrative Vice President. His responsibilities included senior management of Personal Trust, Institutional Custody, Employee Benefits, Corporate Trust, Mutual Fund Administration and Sales, as well as, Operations. Prior to joining M&T Bank, he was employed by the United Bank of Illinois as Senior Vice President and head of the Trust and Investment Division performing Trust services throughout all seven member banks of the Holding Company. Prior to joining United Bank, he was employed by the Office of the Comptroller of the Currency as a National Trust Examiner.

He has written articles appearing in *Trust & Estates Magazine*, *Bank Administration Magazine* and *Risk Management Magazine*.

Primary Areas of Expertise

- Investment Management
- Retirement Plans
- Personal Trust
- Securities Operations
- Custodial Services
- Audit, Compliance & Risk Management

Duane E. Lee, II – Executive Vice President

Education

B.S. in Finance, Investments and Banking, *University of Illinois*
A.S. in Real Estate, *Triton College*
M.B.A. in Securities Analysis and Portfolio Management, *DePaul University*
Basic and Standard Certificates in Trust Banking, American Institute of Banking,
ABA National Trust School, *Northwestern University*

Designations, Affiliations & Licenses

- Certified Wealth Strategist (CWS)
- Certified Corporate Trust Specialist (CCTS)
- Certified Trust and Financial Advisor (CTFA)
- Certified Trust Operations Specialist (CTOS)
- Certified Trust Audit & Compliance Professional (CTACP)
- Certified Funds Specialist (CFS)
- Chartered Fiduciary Services Auditor (CFSA)
- Certified Financial Services Auditor (CFSA)
- Certified Retirement Services Professional (CRSP)
- Chartered Retirement Planning Counselor (CRPC)
- Chartered Retirement Plans Specialist (CRPS)
- Certified Securities Operations Professional (CSOP)
- Certified Retirement Planning Specialist (CRPS)
- Certified IRA Services Professional (CISP)
- Certified Fiduciary and Investment Risk Specialist (CFIRS)
- Commissioned National Trust Examiner (NTE)
- Certified Flight Instructor (CFI)
- Certified Retirement Administrator (CRA)
- Certified Retirement Counselor (CRC)
- Registered Financial Consultant (RFC)

Featured Speaker & Lecturer

- Office of the Comptroller of the Currency
- Federal Reserve Bank
- U.S. Department of the Interior
- Charles Schwab & Co.
- Fidelity
- Northern Trust
- Wells Fargo

Duane E. Lee, II – Executive Vice President

Professional Experience

Executive Vice President

*Cannon Financial Institute, Athens, Georgia
1995 to Present*

Specialist in Investments, Custody, Employee Benefits, Corporate Trust and Operations.

Administrative Vice President

*Manufacturers and Traders Trust Company, Buffalo, New York
1985 - 1995*

Senior officer in charge of Administration, Operations, Mutual Funds, Strategic Planning, Acquisitions, Institutional Sales and Due Diligence for the Trust and Investment Services Division.

Senior Vice President

*United Bank of Illinois, N.A., Rockford, Illinois
1982 - 1985*

Head of the Trust and Investment Division providing Trust services to the seven banks of the holding company.

National Trust Examiner

*Officer of the Comptroller of the Currency, Chicago, Illinois
1977 - 1982*

Examiner of National Bank Trust Departments.